THE SUMITOMO FOUNDATION FISCAL 2023 GRANT FOR BASIC SCIENCE RESEARCH PROJECTS

Application Guide

The Sumitomo Foundation (hereafter "Foundation") offers this grant program to promote Basic Science research projects which are deemed imperative but have insufficient funds and particularly seeds research projects by young researchers, where innovative ideas are expected, in accordance with the following terms and conditions.

I. Eligible Research Projects

1. Eligible Research

Any basic research in each study field of science (Mathematics, Physics, Chemistry, Biology) and of multi-study fields of science (incl. fundamental engineering of each study field)

Notes:

- The following projects are not eligible:
 - -Research projects for profit making or those conceivably conducive to profit making.
 - -Contracted research projects for a third party.
 - -Research projects which have been already substantially completed.
- Please refer to Foundation's website for the list of research projects awarded in FY2022 for your reference.

2. Type of Research

Individual or Joint Research available.

3. Qualifications of Applicant

Any young researcher who falls under either (1), (2) or (3) described below is qualified to apply.

- (1) Regardless of the nationality or age, anyone who belongs to a university, a college or a research institution in Japan with an approval from an authorized person representing the affiliation to apply for this grant program.
- (2) Anyone other than (1) above and (3) below who has Japanese nationality or a permission for permanent residence in Japan.
- (3) A grantee of FY2022 (previous fiscal year) Basic Science Research Project Grant who needs to apply for the

continued project of the same research subject.

Notes:

- The application forms must be prepared in either Japanese or English.
- But, in case of English application, its research subject should be written in Japanese as well as in English.
- "Contact Person" is the person whom the Foundation can contact for inquiry of the application when the Applicant is away from the office. For English application, designate the person whom the Foundation can contact in Japanese language.
- Any applicant who belongs to a university, a college or a research institution even outside Japan should also acquire an approval from an authorized person representing the affiliation to apply for this grant program.
- Anyone who belongs to a profit-making organization fully or even partially is not eligible to apply.
- In the case of (3) above, please describe the interim status of your research project and the reason of applying for the continued research project in the application form. The continued project of the same research subject for the third year is not acceptable.

4. Applicable Expenses

Direct expenses for the proposed research that fall under the list of 'Applicable Expenses' on 'Instruction Sheet for Application Form.'

Notes:

- The following expenses are not applicable:
 - -Remuneration for services rendered by the applicant and/or his/her collaborator(s). (The term" collaborator(s)" here refers to any researcher(s) who carry (ies) out the proposed research in collaboration with

the applicant and be considered co-author(s) when the results of proposed research are published.)

- -Administrative expenses of universities, colleges, research institutions, or other organizations to which the applicant belongs.
- -Expenses for procurement of any instrument, apparatus or equipment for general use such as PC, Server, Printer, Fax and so on, except the ones including PC which are particularly needed for the proposed research.

II. Outline of this Grant

1. Total budget for fiscal 2023

¥150,000,000

2. Grant Amount for Each Project

Max ¥5.000.000

3. Number of projects to be selected

Approx. 90

4. Duration of Grant

One (1) year

(November 2023-November 2024)

Notes:

 The duration may be extended by 2 years maximum if required for a specific reason during the course of the project with Foundation's prior permission.

5. Duties of Applicants (as Grantees)

- (1) The applicant is required to agree on and follow the provisions stipulated in Foundation's standard "Agreement" (but in Japanese form only) and take responsibility to carry out the proposed research project thoroughly, once his/her application for the grant is approved by the Board of Directors of Foundation.
- (2) Written reports on research results and on a final accounting of funds spent shall be submitted to Foundation when the grant period has expired. The reports on research results shall be posted on Foundation's website etc.
- (3) When a thesis or any similar document is to be published based on the results of

proposed research, it is required to clearly mention on such published material that the research was made possible by this grant from Foundation. One set of photocopies of the material needs to be submitted to Foundation.

III. Selection and Approval of Eligible Projects

1. Selection Process

Applications for this grant will be evaluated for selection by the Selection Committee of Foundation. The Board of Directors of Foundation, scheduled to meet in October 2023, will give the final approval to the selection of the projects eligible for this grant. Results of the selection will be notified to the applicants by e-mail.

2. Selection Criteria

Selection shall put weight on the following perspectives;

- (1) Exploratory
 Excellent at innovation and expandability
- (2) Planning Well planned ahead
- (3) Science Scientifically important
- (4) Necessity

Strong necessity of Foundation's grant due to difficulty to be awarded a grant by other organizations.

Notes:

- The amount of grant applied for may be reduced as a result of the evaluation by the Selection Committee.
- Foundation may not reply to any inquiries regarding the reasons for selection/rejection of the proposals.

IV. Procedure for Application

1. Documents and Steps

The Applicant should apply for this program through the website of the Foundation in Japanese or English. The Applicant should submit two application documents, (1) Application, (2) Approval from a research institution including a university / college (if applicable). For details, please refer to

"Procedure & Instruction for Application". Access to URL for the application is not available until the Commencement Date.

Neither the application document nor other material submitted shall be returned to the Applicant.

<Personal Information>

The personal information provided in the application is to be used strictly for the purposes of selection as well as notification of the results of evaluation to the applicants. The Applicants will be asked to show their consent on the website to the Foundation's policy of the protection of personal information. Please note, however, that the name of grantees (successful applicants), their affiliation, title, theme of research project and grant amount will be announced to the public in due course.

2. Application Term

Commencement Date: April 15, 2023 Deadline Date : June 30, 2023

Japan Time(JST):17:00

After the acceptance of application documents, the registration number will be notified to the Applicant by email. Unless the Applicant receives it within one day after the submission through the web-site, please inquire to the Foundation by email, or the Applicant would fail in the registration.

Please take note that even if the registration number has been assigned, the Foundation may cancel its registration of the application which does not comply with Application Guide and/or Procedure & Instruction for Application.

V. Where to contact

Please contact the address below for any inquiries concerning the application.

The Sumitomo Foundation 1-12-16 Shibadaimon Minato-ku, Tokyo JAPAN 105-0012

Telephone: 81-3-5473-0161 Facsimile: 81-3-5473-8471

E-mail:basic.science@sumitomo.or.jp URL:http://www.sumitomo.or.jp/e/